

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Raymond M. Hauser	)	
		)	
Serial No.:	unassigned	)	Examiner: unassigned
		)	
Filed:	August 5, 2003	)	Group Art Unit:
		)	
Title:	Hydrostatic Transmission	)	Docket No.: 36400.29US2
	Connection Apparatus	)	

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(1))**

BOX DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.56, the items of information listed on the enclosed Form PTO/SB/08A are brought to the attention of the Examiner.

This statement should be considered because it is submitted, pursuant to 37 C.F.R. §1.97(b)(3), within three months of the filing of this application, therefore, no fee is required.

This application is a continuation of U.S. Serial No. 10/056,570, filed January 25, 2002 for which the current application relies upon for an earlier filing date under 35 U.S.C. 120. Therefore, in accordance with 37 C.F.R. §1.98(d)(1), copies of each U.S. and foreign patents, which were previously submitted or cited by the examiner in the parent application, are listed on the enclosed form PTO/SB/08A and not are enclosed.

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the

right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form PTO/SB/08A, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: Aug. 5, 2003

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Certificate of Mailing: The undersigned hereby certifies that this document and its enclosures are being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 5<sup>th</sup> day of August, 2003.

By: Lisa Lyle  
Name: Lisa Lyle

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

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**Complete if Known**

Application Number	
Filing Date	08/05/2003
First Named Inventor	Raymond M. Hauser
Art Unit	
Examiner Name	Not Yet Assigned
Attorney Docket Number	36400.29US2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2002/0170384 A1	11-21-2002	Boyer et al.	
		US- 6,401,869 B1	06-11-2002	Iida et al.	
		US- 6,336,378 B1	01-08-2002	Sporrer	
		US- 6,283,235	09-04-2001	Sporrer et al.	
		US- 6,186,028 B1	02-13-2001	Shimizu	
		US- 6,189,410 B1	02-20-2001	Inoue	
		US- 5,957,229	09-28-1999	Ishii	
		US- 5,842,532	12-01-1998	Fox et al.	
		US- 5,314,387	05-24-1994	Hauser et al.	
		US- 5,078,222	01-07-1992	Hauser et al.	
		US- 4,845,949	07-11-1989	Shivvers et al.	
		US- 4,606,428	08-19-1986	Giere	
		US- 3,765,258	10-16-1973	Jespersen	
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.